BayREN Codes & Standards Training Improving Energy Code Compliance in Low-rise New Construction

Coordinating Plan Check and Field Inspection for Residential New Construction







Understanding the forms 1-2-3

- There is a clear, intentional sequence to the documents:
 - Document what is required
 - Document what was installed
 - Document what was verified
- When these three kinds of documentation match, the process was successful.
- Note: Not all installed features need to be third party verified.



Step 1 – Document What is Required

 This first compliance document is called the:

> CF1R – Certificate of Compliance

CF1R Certificate of Compliance

"What's Required"

Feature A

Feature B

Feature C

Feature D*

Feature E*

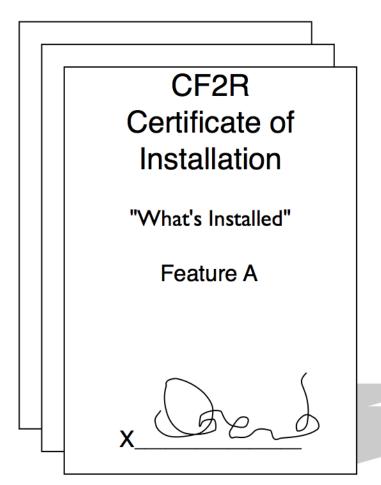
x Sto



Step 2 – Document What is Installed

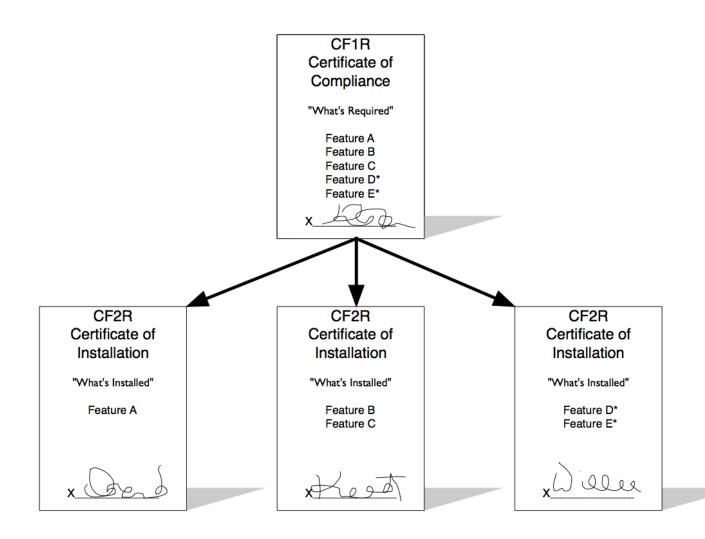
 This second compliance document is called the:

> CF2R – Certificate of Installation





Step 2 – Document What is Installed



Handout Page 5



Step 3 – Document What is Verified

 This third compliance document is called the:

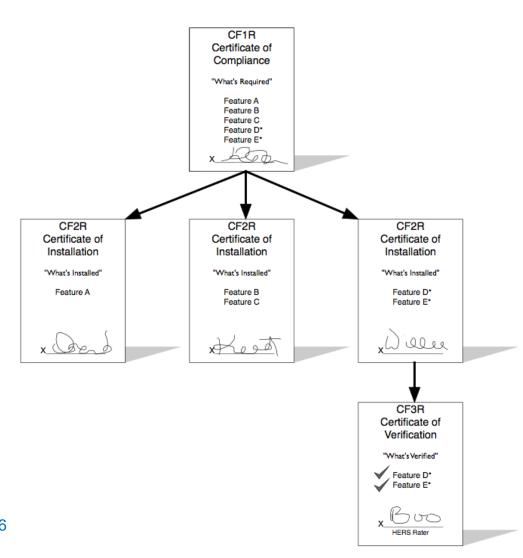
CF3R – Certificate of *Verification*





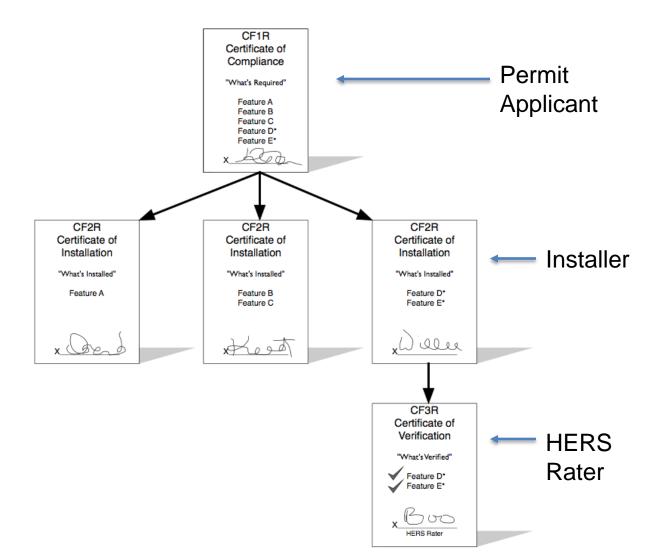


Step 3 – Document What is Verified



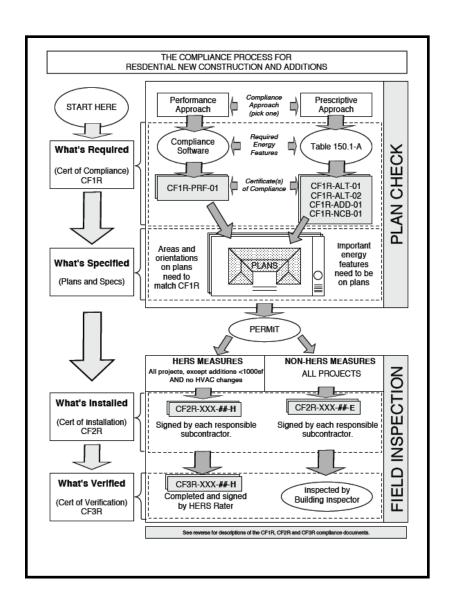


Understanding the forms 1-2-3



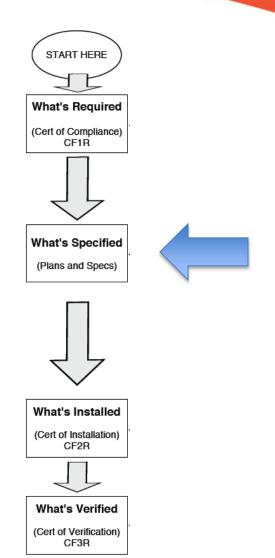


Refer to full-size copy provided with your handouts.

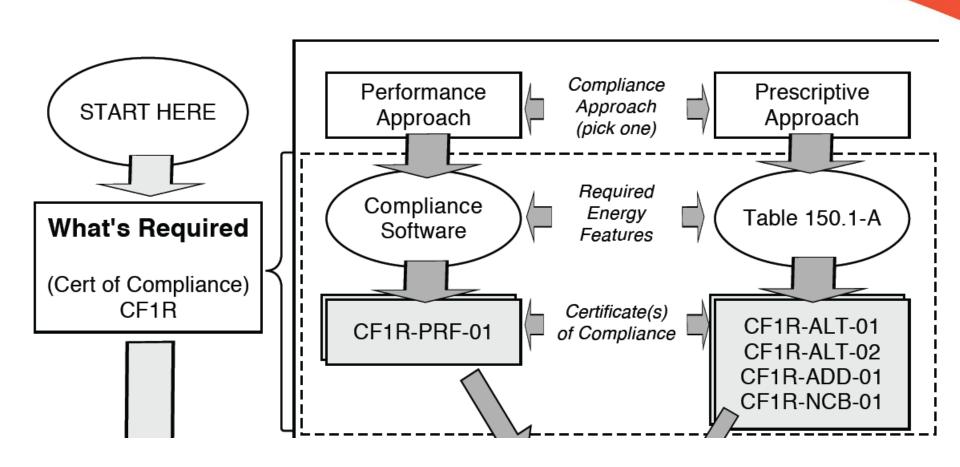




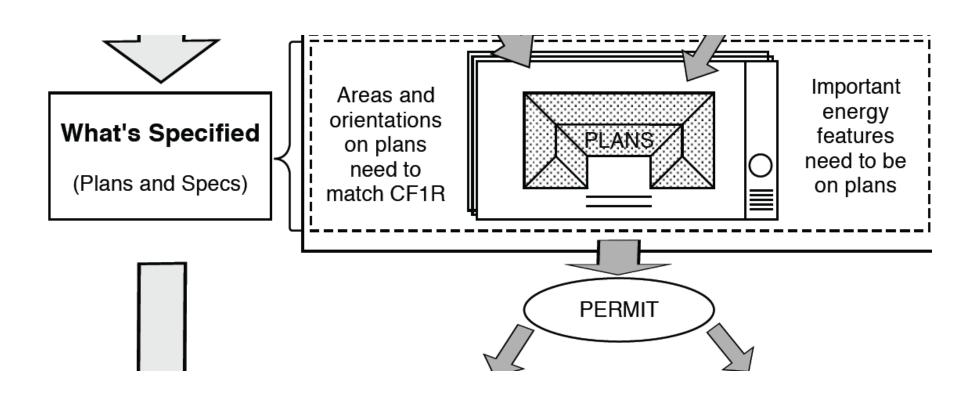
- Notice that the forms (CF1R, CF2R, and CF3R) are there, with an intermediate step of ensuring specifications on the plans.
- Notice that they are divided into Plan Check and Field Inspection steps.



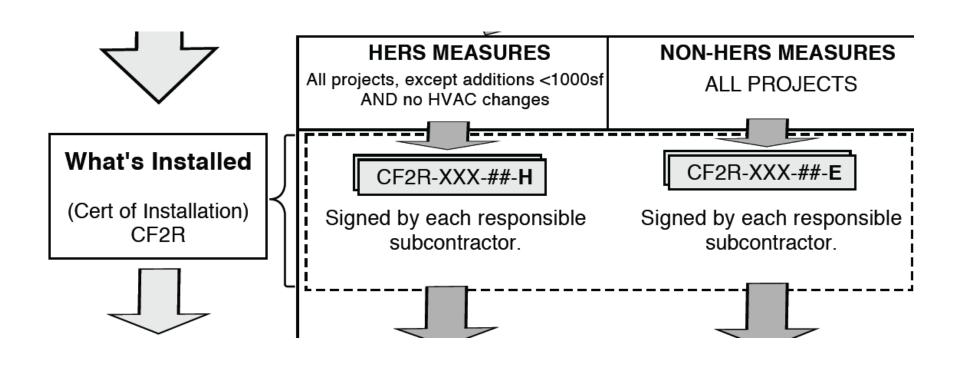




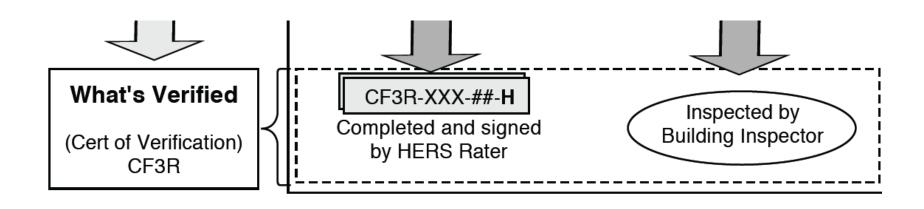




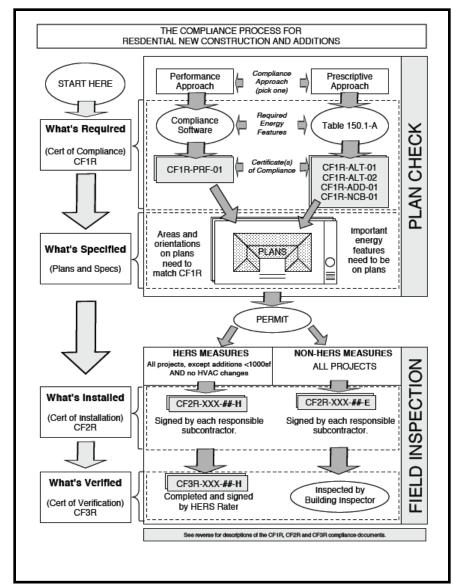














CF1R – Certificates of Compliance

- **CF1R-ALT-01**: Used to demonstrate compliance for non-HVAC alterations (roof, windows, walls, etc.)
- **CF1R-ALT-02:** Used to demonstrate compliance for HVAC alterations. (change-outs, cut ins, reducts, etc.)
- **CF1R-ADD-01-E:** Used when the *prescriptive* approach is used to demonstrate compliance for additions less than or equal to 1000 square feet. See example in Appendix.
- **CF1R-NCB-01-E:** Used when the *prescriptive* approach is used to demonstrate compliance for newly constructed homes and additions over 1000 square feet.
- **CF1R-PRF-01-E**: Used when the *performance* approach is used to demonstrate compliance for any kind of project.



CF-2R - Certificates of Installation - Non-HERS Measures (-E)

- CF2R-ENV-01-E: fenestration (windows, skylights, etc.)
- CF2R-ENV-02-E: air sealing features (weather stripping, caulking, backdraft dampers, etc.)
- CF2R-ENV-03-E: insulation
- CF2R-ENV-04-E: roofing products
- CF2R-LTG-01-E: lighting features
- CF2R-MCH-01-E: mechanical systems (HVAC)
- CF2R-MCH-02-E: whole house fan
- CF2R-MCH-04-E: evaporative coolers
- CF2R-PLB-01-E: Multi-family central hot water distribution systems
- CF2R-PLB-02-E: Single-family central hot water distribution systems
- CF2R-PLB-03-E: Pool and spa heating systems



CF-2R – Certificates of Installation – HERS Measures (-H)

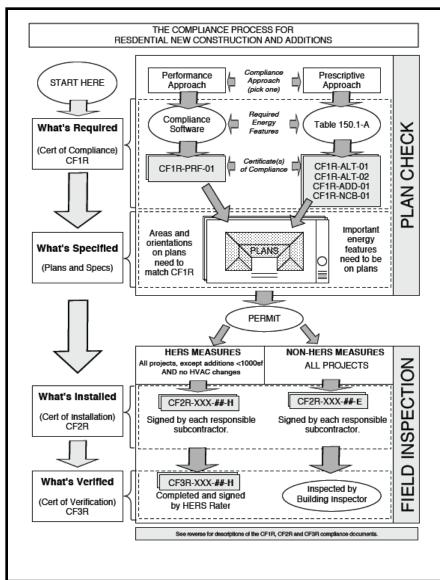
- CF2R-ENV-20-H: Envelope air leakage (blower door test)
- CF2R-ENV-21-H: QII Framing Stage (batt, loose fill, etc.)
- CF2R-ENV-22-H: QII Ceiling Air Barrier
- CF2R-ENV-23-H: QII Insulation Stage
- CF2R-ENV-24-H: QII Framing Stage (SIP & ICF)
- CF2R-MCH-20-H: sealed ducts*
- CF2R-MCH-21-H: Supply duct location verification
- CF2R-MCH-22-H: HVAC system fan efficacy (fan watt draw)*
- CF2R-MCH-23-H: HVAC system fan airflow*
- CF2R-MCH-24-H: Blower door, when infiltration used to meet whole house ventilation
- CF2R-MCH-25-H: HVAC system refrigerant charge*
- CF2R-MCH-26-H: Rated system verification (High SEER/EER)
- CF2R-MCH-27-H: ventilation to the ASHRAE 62.2 standard
- CF2R-MCH-28-H: Return Duct sizing table verification (alternative to airflow/Fan watt draw test)
- CF2R-MCH-29-H: Supply duct surface are and buried ducts verification
- CF2R-PLB-21-H: Multi-family central hot water distribution systems
- CF2R-PLB-21-H: Single-family central hot water distribution systems



- For each CF2R-XXX-##-H there is a corresponding CF3R-XXX-##-H, Certificate of Verification
- The HERS registry will make sure the correct HERS documents (CF2R and CF3R) get used and completed.

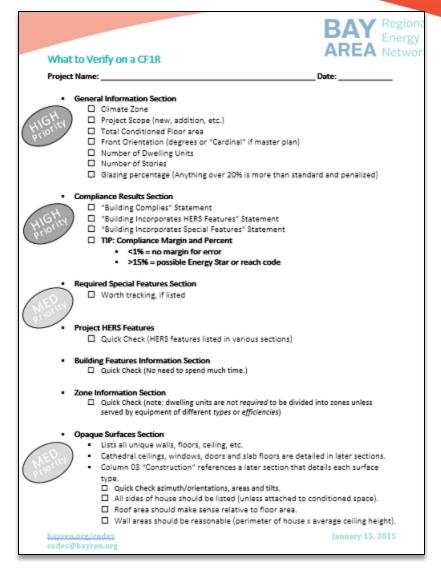








- Refer also to the "What to Verify on a CF1R" checklist tool provided in your handouts.
- You can also refer to the sample CF1R provided in your handouts.





General Information Section



- ☐ Climate Zone
- ☐ Project Scope (new, addition, etc.)
- ☐ Total Conditioned Floor area
- ☐ Front Orientation (degrees or "Cardinal" if master plan)
- ☐ Number of Dwelling Units
- □ Number of Stories
- ☐ Glazing percentage (Anything over 20% is more than standard and penalized)

GENER	AL INFORMATION								
01	Project Name	Residence	esidence						
02	Calculation Description	Title 24 Analysis	le 24 Analysis						
03	Project Location								
04	A City	Menlo Park	05	Standards Version	Compliance 2014				
06	Zip code	94025	07	Compliance Manager Version	BEMCmpMgr 2013-2 (595c)				
08	Climate Zone	CZ3	09	Software Version	EnergyPro 6.2				
10	Building Type	Single Family	11	Front Orientation (deg/Cardinal)	213				
12	Project Scope	Newly Constructed	13	Number of Dwelling Units	1				
14	Total Cond. Floor Area (FT ²)	3843	15	Number of Zones	2				
16	Slab Area (FT ²)	0	17	Number of Stories	2				
18	Addition Cond. Floor Area	NA	19	Natural Gas Available	Yes				
20	Addition Slab Area (FT ²)	NA	21	Glazing Percentage (%)	16.1%				



Compliance Results Section



- "Building Complies" Statement
- "Building Incorporates HERS Features" Statement
- ☐ "Building Incorporates Special Features" Statement
- ☐ TIP: Compliance Margin and Percent
 - <1% = no margin for error</p>
 - >15% = possible Energy Star or reach code

01	Building Complies with Computer Performance										
02	This building incorporates featu	res that require field testing and/or	verification by a certified HERS ra	ater under the supervision of a CE	C-approved HERS provider						
03	This building incorporates one of	r more Special Features shown bel	ow (800							
		ENER	GY USE SUMMARY								
	04	05	06	07	08						
	Energy Use (kTDV/ft)	Standard Design	Proposed Design	Compliance Margin	Percent Improvement						
	Space Heating	10.63	10.46	0.17	1.6%						
	Space Cooling	1.05	1.90	-0.85	-81.0%						
	IAQ Ventilation	1.01	1.01	0.00	0.0%						
	Water Heating	8.81	7.88	0.93	10.6%						
	Photovoltaic Offset		0.00	0.00							
C	ompliance Energy Total	21.50	21.25	0.25	1.2%						



Required Special Features Section



☐ Worth tracking, if listed

REQUIRED SPECIAL FEATURES The following are features that must be installed as condition for meeting the modeled energy performance for this computer analysis. Non-standard roof reflectance

- Cathedral Ceiling

 - Window overhangs and/or fins

List varies.

- **Project HERS Features**
 - ☐ Quick Check (HERS features listed in various sections)
- **Building Features Information Section**
 - ☐ Quick Check (No need to spend much time.)
- Zone Information Section
 - ☐ Quick Check (note: dwelling units are not required to be divided into zones unless served by equipment of different types or efficiencies)



Opaque Surfaces Section



- Lists all unique walls, floors, ceiling, etc.
- Cathedral ceilings, windows, doors and slab floors are detailed in later sections.
- Column 03 "Construction" references a later section that details each surface type.

Quick Check azimuth/orientations, areas and tilts.	
all sides of house should be listed (unless attached to conditioned space).	

☐ Roof area should make sense relative to floor area.

☐ Wall areas should be reasonable (perimeter of house x average ceiling height).

OPAQUE SURFACES		· ·	•		_		
01	02	03	04	05	06	07	08
Name	Zone	Construction	Azimuth	Orientation	Gross Area (ft ²)	Window Area (ft ²)	Tilt(deg)
Front Wall	Main Floor	R-21 Wall	213	Front	285	38	90
Left Wall	Main Floor	R-15 Wall	303	Left	440	51	90
Rear Wall	Main Floor	R-15 Wall	33	Back	783	249.968	90
Right Wall	Main Floor	R-15 Wall	123	Right	290	21.3	90
Left Front Wall	Main Floor	R-15 Wall	258	45	20	9	90
Right Front Wall	Main Floor	R-15 Wall	168	315	20	9	90
Front 2 x 4 Wall	Main Floor	R-13 Wall1	213	Front	95	18	90
Roof	Main Floor	R-38 Roof Attic			391		
Raised Floor	Main Floor	R-30 Floor Crawlspace			2216		
Front Wall 2	Upper Floor	R-21 Wall	213	Front	435	57	90



Attic Section



- ☐ If **radiant barrier** is specified, installation is important and should be tracked through process (plan check and field inspection).
- ☐ If **cool roof** is specified, reflectance and emittance are important and should be tracked through process (plan check and field inspection).

ATTIC	ric									
01	02	03	04	05	06	07				
Name	Construction	Roof Rise	Roof Reflectance	Roof Emittance	Radiant Barrier	Cool Roof				
Attic	Attic Roof Cons	8	0.1	0.85	Yes	No				



Windows Section - VERY IMPORTANT

- HIGH
- ☐ Check Areas and Orientations against plans.
- ☐ Check U-Factor and SHGC against NFRC labels in field.
- ☐ Tip: If you are limited on time, check the window area for the orientation with the most glass area. ☐ ☐ ☐ ☐

WINDOWS	/INDOWS										
01	02	03	04	05	06	07	08	09	10		
Name	Туре	Surface (Orientation-Azimuth)	Width(ft)	Height (ft)	Multipli er	Area (ft²)	U- factor	SHG C	Exterior Shading		
Window	Window	Front Wall (Front-213)			1	28.0	0.30	0.67			
Window in Door	Window	Front Wall (Front-213)			1	10.0	0.55	0.67			
Window 2	Window	Left Wall (Left-303)			1	51.0	0.30	0.67			
Window 3	Window	Rear Wall (Back-33)			1	90.0	0.30	0.67			
10080	Window	Rear Wall (Back-33)	10.0	8.0	1	80.0	0.30	0.67			
10080 2	Window	Rear Wall (Back-33)	12.0	8.0	0.833	80.0	0.30	0.67			
Window 4	Window	Right Wall (Right-123)			1	21.3	0.30	0.67			
Window 5	Window	Left Front Wall (- specify258)			1	9.0	0.30	0.67			



Overhangs and Side Fins Section



- ☐ If modeled, they have a significant impact on compliance.
- ☐ Verify against plans.
- ☐ Verify in field.
 - ☐ Depth is the most important dimension

OVERHANGS AND FINS													
01	02	03	04	05	06	07	08	09	10	11	12	13	14
			Overhang				Left F	in			Right	Fin	
Window	Depth	Dist Up	Left Extent	Right Extent	Flap Ht.	Depth	Тор Uр	DistL	Bot Up	Depth	Тор Up	Dist R	Bot Up
10080	10	1	2.8	2.8	0	0	0	0	0	0	0	0	0
10080 2	11.5	1	1.8	1.8	0	0	0	0	0	0	0	0	0
5080	1	0.3	4.9	6	0	7.5	0	4.9	0	0	0	0	0
6050	1	0.3	1.7	1.7	0	0	0	0	0	0	0	0	0
3030	2.3	0.3	1	1	0	0	0	0	0	0	0	0	0
5050	1	0.3	5.7	14	0	0	0	0	0	0	0	0	0



Opaque Surface Constructions Section



- ☐ Match construction name in column 01 to column 03 of the previously discussed "Opaque Surfaces" section.
- ☐ Look for unusual assemblies (24 o.c., etc).
- ☐ Note cavity **and** sheathing (continuous) R-values.
- □ No U-factors shown! (hopefully will be added to later versions)
 - ☐ Use Appendix JA4, if needed.

01	02	03	04	05	06
Construction Name	Surface Type	Construction Type	Framing	Total Cavity R-value	Assembly Layers
Attic Roof Cons	Attic Roofs	Wood Framed Ceiling	2x4 Top Chord of Roof Truss @ 24 in. O.C.		Roofing: Light Roof (Asphalt Shingle) Above Deck Insulation - no insulation - Roof Deck: Wood Siding/sheathing/decking Cavity: - no insulation - Inside Finish: - select inside finish -
R-0 Roof Attic	Ceilings (below attic)	Wood Framed Ceiling	2x4 @ 24 in. O.C.		Attic Floor: - no attic floor - Cavity: - no insulation - SheathingInsulation - no sheathing/insul Inside Finish: Gypsum Board
R-13 Wall	Interior Walls	Wood Framed Wall	2x4 @ 16 in. O.C.	R 13	Inside Finish: Gypsum Board Sheathing/Insulation: - no sheathing/insul Cavity: R 13 Sheathing/Insulation: - no sheathing/insul Other Side Flnish: Gypsum Board
R-30 Floor Crawlspace	Floors Over Crawlspace	Wood Framed Floor	2x10 @ 16 in. O.C.	R 25	Floor Surface: Carpeted Concrete Fill: - no concrete fill - Floor Deck: Wood Siding/sheathing/decking Cavity: R 25 Sheathing/Insulation: - no sheathing/insul Exterior Finish: - select finish -



- Slab Floors Section
 - ☐ Quick Check
- Building Envelope HERS Verification Section



- ☐ If any are listed you know that a special inspector will be in charge of that feature (Will need CF3Rs.)
 - Quality Insulation Installation (QII)
 - Building Envelope Air Leakage
 - "ACH@50 Pa" is the target for the blower door test, if required.

BUILDING ENVELOPE - HERS VERIFICATION								
01	02	03	04					
Quality Insulation Installation(QII)	Quality Installation of Spray Foam Insulation	Building Envelope Air Leakage	ACH @ 50 Pa					
Not Required	Not Required	Not Required						



Water Heating Systems and Water Heaters Sections

- HIGH
- ☐ Very important, especially in mild climates (see WH compliance margin in Compliance Results Section).
- ☐ Verify all information in field: type, number, volume, efficiencies

WATER HEATING SYSTEMS									
01	02	03	04						
Name	Distribution Type	Number of Heaters	Solar Fraction (%)						
DHW Sys 1	Recirculation, Demand Control Push Button	mana a d	0.0%						

WATER HEATERS	WATER HEATERS										
01	02	03	04	05	06	07	08				
Name	Heater Element Type	Tank Type	Tank Volume (gal)	Energy Factor or Efficiency	Input Rating	Tank Exterior Insulation R-value	Standby Loss (Fraction)				
DHW Heater 1	Natural Gas	Large Storage	75	0.96	80000-Btu/hr	0	0.0191				







- ☐ If any HERS measures are listed you know that a special inspector will be in charge of checking those features (Will need CF3Rs.):
 - pipe insulation,
 - parallel piping/compact distribution/point-of-use
 - Recirculation with manual control/Recirculation with sensor control

WATER HEATING - HERS VERIFICATION											
01	02	03	04	05	06	07					
Name	Pipe Insulation	Parallel Piping	Compact Distribution	Point-of Use	Recirculation with Manual Control	Recirculation with Sensor Control					
DHW Sys 1-hers-dhw	n/a	n/a	n/a	n/a		n/a					



- HVAC Systems, Heating Systems and Cooling Systems Sections Very Important
 - ☐ Confirm duct locations on plans.
 - ☐ Verify all information at field inspection:
 - ☐ system types
 - ☐ efficiencies

HVAC SYSTEMS								
01	02	03		04		05	06	07
		Heating System	1	Cooling Sys	tem			
Name	System Type	Name	Ducted	Name	Ducted	Distribution System	Fan System	Floor Area Served
HVAC System1	Other Heating and Cooling System	Heating Component 1	Yes	Cooling Component 1	Yes	Air Distribution System 1	HVAC Fan 1	2216
Upper HVAC System2	Other Heating and Cooling System	Heating Component 2	Yes	Cooling Component 2	Yes	Air Distribution System 2	HVAC Fan 2	1627

HVAC - HEATING SYSTEMS					
01	02	03			
Name	Туре	Efficiency			
Heating Component 1	CntrlFurnace - Fuel-fired central furnace	96 AFUE			
Heating Component 2	CntrlFurnace - Fuel-fired central furnace	96 AFUE			

HVAC - COOLING SYSTEMS				
01	02	03	04	05
	1000	Effic	ciency	
Name	System Type	EER	SEER	HERS Verification
Cooling Component 1	SplitAirCond - Split air conditioning system	11.3	13	Cooling Component 1-hers-cool
Cooling Component 2	SplitAirCond - Split air conditioning system	11.3	13	Cooling Component 2-hers-cool



HVAC Cooling HERS Verification Section



- ☐ Verification of Airflow by HERS Rater will be required on all ducted systems with A/C. (Will need CF3Rs.)
- ☐ If so, Cooling System will be checked by Rater

HVAC COOLING - HERS VERIFICATION					
01	02	03	04	05	06
Name	Verified Airflow	Airflow Target	Verified EER	Verified SEER	Verified Refrigerant Charge
HVAC System1 SCSysRpt 1	Required	350	11.3		
Upper HVAC System2 SCSysRpt 1	Required	350	11.3	-	



HVAC Distribution and Distribution HERS Sections



- ☐ Verify duct location on plans
- ☐ Duct leakage testing required on all ducted systems >10 feet.
- ☐ If so, distribution system will be checked by Rater (Will need CF3Rs.)

HVAC - DISTRIBUTION SYSTEMS							
01	02	03	04	05	06	07	08
Name	Туре	Duct Leakage	Insulation R-value	Supply Duct Location	Return Duct	Bypass Duct	HERS Verification
Air Distribution System 1	Ducts located in a crawl space	Sealed and tested	6	Crawl Space	Crawl Space	None	Air Distribution System 1-hers-dist
Air Distribution System 2	Ducts located in unconditioned attic	Sealed and tested	6	Attic	Attic	None	Air Distribution System 2-hers-dist

HVAC DISTRIBUTION - HERS VERIFICATION						
01	02	03	04	05	06	
				Verified [Duct Design	
Name	Duct Leakage Verification	Duct Leakage Target (%)	Verified Duct Location	Return	Supply	
Air Distribution System 1-hers-dist	Required	6.0	Not Required	Not Required	Not Required	
Air Distribution System 2-hers-dist	Required	6.0	Not Required	Not Required	Not Required	



HVAC Fan Systems and Fan Systems HERS Sections



- ☐ Verification of Fan Watt Draw by HERS Rater will be required on all ducted systems with A/C.
- ☐ If so, Cooling System will be checked by Rater (Will need CF3Rs.)

HVAC - FAN SYSTEMS					
01	02	03	04		
Name	Туре	Fan Power (Watts/CFM)	HERS Verification		
HVAC Fan 1	Single Speed PSC Furnace Fan	0.58	Required		
HVAC Fan 2	Single Speed PSC Furnace Fan	0.58	Required		

HVAC FAN SYSTEMS - HERS VERIFICATION				
01	02	03		
Name	VerifiedFanWatt Draw	Required Fan Efficiency (Watts/CFM)		
HVAC Fan 1-hers-fan	Required	0.58		
HVAC Fan 2-hers-fan	Required	0.58		



- Indoor Air Quality Fans Section
- MED Priority
- ☐ IAQ airflow almost always checked by Rater. (Will need CF3Rs.)
- ☐ Spot ventilation (kitchen hood, bathroom fans, laundry rooms, etc.) **NOT** checked by Rater.

IAQ (Indoor Air Quality) FANS				
01	02	03	04	05
Name	IAQ CFM	IAQ Fan Type	IAQ Recovery Effectiveness(%)	HERS Verification
IAQ Fan	83	Exhaust	0	Required

- Cooling Ventilation Special cooling credits
- MED
- ☐ If listed, worth tracking and field verifying.
- ☐ Whole house fans
- ☐ Night ventilation



H	☐ The single MOST IMPORTA and registered.	ANT item to check is that the documents are signed
ritt	☐ Digital Signatures are Lega	al Control of the Con
DOCUMENTATI	ION AUTHOR'S DECLARATION STATEMENT	
1. I certify that the	his Certificate of Compliance documentation is accurate and co	emplete.
Documentation /	Author Name:	Documentation Author Signature:
Company:		Signature Date: 2014-08-12 20:39:30
Address:	,	CEA/HERS Certification Identification (If applicable):
City/State/Zip:		Phone:
RESPONSIBLE	PERSON'S DECLARATION STATEMENT	·
I am eliq I certify Regulat The buil	that the energy features and performance specifications identifications. Iding design features or system design features identified on thi	alifornia: accept responsibility for the building design identified on this Certificate of Compliance. fied on this Certificate of Compliance conform to the requirements of Title 24, Part 1 and Part 6 of the California Code of the Certificate of Compliance are consistent with the information provided on other applicable compliance documents, orcement agency for approval with this building permit application.
Responsible De	signer Name:	ResponsibleDesigner Signature:
Company:		Date Signed: 2014-08-13 11:54:07
Address:		License:
City/State/Zip:		Phone:

Declaration Statements - Provide accountability in the event of future problems.



Class Wrap-up

Final Questions and Class Evaluation

Contact information:

- BayREN Codes & Standards Program
 - <u>www.bayren.org/codes</u>
 - codes@bayren.org

Updated: 02/20/2015